

AKIMA-DTSV 14 MAR 2005

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**FACSIMILE TRANSMISSION**

**DATE:** March 11, 2005

**TO:** Office of Initial Patent Examination's Filing  
Receipt Corrections  
@ U.S. Patent and Trademark Office

Fax No.: (703) 746-9195

**FROM:** Frank Chau  
@ F. Chau & Associates, LLC

**NO. OF PAGES TO FOLLOW:** 9

**MESSAGE:**

RE: U.S. Patent Application Serial No.:10/713,447  
**CMOS WELL STRUCTURE AND METHOD OF FORMING THE SAME**  
Group Art Unit: 2811  
Our Docket No.: YOR920030384US1 (8728-648)

Dear Sir/Madam:

Attached hereto, please find a Request for a Corrected Filing Receipt in connection with the above-identified patent application.

Upon review and acceptance, please provide us with an updated Corrected Filing Receipt.

Thank you,

Frank Chau

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Thank you.

## PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Haensch et al.

Group Art Unit: 2811

Serial No: 10/713,447

Docket: YOR920030384US1 (8728-648)

Filed: November 14, 2003

For: **CMOS WELL STRUCTURE AND METHOD OF FORMING THE SAME**

Office of Initial Patent Examination  
Filing Receipt Corrections  
PO Box 1450  
Alexandria VA 22313

REQUEST FOR A CORRECTED FILING RECEIPT

Sir:

It is respectfully requested that an updated Filing Receipt be issued correcting the attorney docket no. from **"YOR920020284US1 (8728-648)"**


to

**"YOR920030384US1 (8728-648)"**

as indicated in the Declaration document filed on January 18, 2005. A copy of the Declaration and a copy of the original Filing Receipt which was mailed by the U.S. Patent and Trademark Office is attached with the correction indicated in red ink.

Respectfully submitted,

By:


  
Frank Chau  
Reg. No. 34,136  
Attorney for Applicant

F. CHAU & ASSOCIATES, LLC  
130 Woodbury Road  
Woodbury, New York 11797  
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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the Office of Initial Patent Examination's Filing Receipt Corrections, PO Box 1450, Alexandria VA 22313-1450 via facsimile **(703-746-9195)** on **March 11, 2005**.

Dated: March 11, 2005

  
Frank Chau



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/713,447	11/14/2003	2811	1152	YOR920028284US1(8728-648)	7	34	3

YOR920030384(8728-648)

CONFIRMATION NO. 9648

46069

F. CHAU & ASSOCIATES, LLC  
130 WOODBURY ROAD  
WOODBURY, NY 11797

## CORRECTED FILING RECEIPT



\*OC000000015321424\*

Date Mailed: 03/02/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Wilfried Haensch, Somers, NY;  
Terence B. Hook, Jericho Center, VT;  
Louis C. Hsu, Fishkill, NY;  
Rajiv V. Joshi, Yorktown Heights, NY;  
Werner Rausch, Stormville, NY;

## Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY

Power of Attorney: The patent practitioners associated with Customer Number 46069.

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 10/18/2004

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/713,447.

Projected Publication Date: 05/19/2005

Non-Publication Request: No

**Early Publication Request: No**

**Title**

CMOS well structure and method of forming the same

**Preliminary Class**

257

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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TO/SB/01 (6/95)

## DECLARATION

Dkt No. YOR920030384US1 (8728-648)

AS A BELOW NAMED INVENTOR, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe that I am the original, first and sole (*if only one name is listed below*), or an original, first and joint inventor (*if plural names are listed below*), of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**TITLE:** **CMOS WELL STRUCTURE AND METHOD OF FORMING THE SAME**

the specification of which either is attached hereto or indicates an attorney docket no. YOR920030384US1 (8728-648), or:

☒ was filed in the U.S. Patent & Trademark Office on November 14, 2003 and assigned Serial No. 10/713,447,

☐ and (*if applicable*) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability and to the examination of this application in accordance with Title 37 of the Code of Federal Regulations §1.56. I hereby claim foreign priority benefits under Title 35, U.S. Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below any foreign applications for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**Priority Claimed:**

(Application Number)	(Country)	(Day/Month/Year filed)
(Application Number)	(Country)	(Day/Month/Year filed)

I hereby claim the benefit under Title 35, U.S. Code, §120 of any United States application(s), or §119(e) of any United States provisional application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of Title 35, U.S. Code, §112, I acknowledge the duty to disclose information material to patentability as defined in Title 37, The Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial Number)	(Filing Date)	(STATUS: patented, pending, abandoned)
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I hereby appoint the practitioners associated with the Customer Number 46069 to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith and with any divisional, continuation, continuation-in-part, reissue or re-examination application, with full power of appointment and with full power to substitute an associate attorney or agent, and to receive all patents which may issue thereon, and request that all correspondence be addressed to:

Frank Chau, Esq.  
F. CHAU & ASSOCIATES, LLC  
130 Woodbury Road  
Woodbury, New York 11797  
Tel.: 516-692-8888

Page 1 of 3

I HEREBY DECLARE that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 U.S. Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST OF SOLE INVENTOR: WILFRIED HAENSCHCitizenship GERMANInventors signature: Wilfried HaenschDate: 07-17-05Residence: Somers, New York 10589Post Office Address: 161 Arbor Crest  
Somers, New York 10589FULL NAME OF SECOND INVENTOR: TERENCE B. HOOKCitizenship USA

Inventors signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Jericho Center, Vermont 05465Post Office Address: PO Box 1128  
Jericho Center, Vermont 05465FULL NAME OF THIRD INVENTOR: LOUIS C. HSUCitizenship USA

Inventors signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Fishkill, New York 12524Post Office Address: 7 Corsby Court  
Fishkill, New York 12524FULL NAME OF FOURTH INVENTOR: RAJIV V. JOSHICitizenship USA

Inventors signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Yorktown Heights, New York 10598Post Office Address: 1418, Pinebrooke Court  
Yorktown Heights, New York 10598

I HEREBY DECLARE that all statements made herein of my own knowledge and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 U.S. Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST OF SOLE INVENTOR: WILFRIED HAENSCHCitizenship GERMAN

Inventors signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Somers, New York 10589

Post Office Address: 161 Arbor Crest  
Somers, New York 10589FULL NAME OF SECOND INVENTOR: TERENCE B. HOOKCitizenship USAInventors signature: Date: DEC. 10, 2004

Residence: Jericho Center, Vermont 05465

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Inventors signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Fishkill, New York 12524

Post Office Address: 7 Corsby Court  
Fishkill, New York 12524FULL NAME OF FOURTH INVENTOR: RAJIV V. JOSHICitizenship USA

Inventors signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Yorktown Heights, New York 10598

Post Office Address: 1418, Pinebrooke Court  
Yorktown Heights, New York 10598

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FULL NAME OF FIRST OF SOLE INVENTOR: WILFRIED HAENSCHCitizenship GERMAN

Inventors signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Somers, New York 10589

Post Office Address: 161 Arbor Crest  
Somers, New York 10589FULL NAME OF SECOND INVENTOR: TERENCE B. HOOKCitizenship USA

Inventors signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Jericho Center, Vermont 05465

Post Office Address: PO Box 1128  
Jericho Center, Vermont 05465FULL NAME OF THIRD INVENTOR: LOUIS C. HSUCitizenship USAInventors signature:  \_\_\_\_\_Date: 12-10-2004

Residence: Fishkill, New York 12524

Post Office Address: 7 Corsby Court  
Fishkill, New York 12524FULL NAME OF FOURTH INVENTOR: RAJIV V. JOSHICitizenship USA

Inventors signature: \_\_\_\_\_

Date: \_\_\_\_\_

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FULL NAME OF FIRST OF SOLE INVENTOR: WILFRIED HAENSCHCitizenship GERMAN

Inventors signature: \_\_\_\_\_

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Somers, New York 10589FULL NAME OF SECOND INVENTOR: TERENCE B. HOOKCitizenship USA

Inventors signature: \_\_\_\_\_

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Inventors signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Fishkill, New York 12524

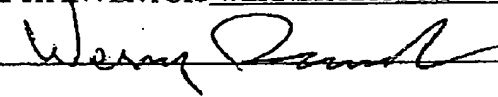
Post Office Address: 7 Corsby Court  
Fishkill, New York 12524FULL NAME OF FOURTH INVENTOR: RAJIV V. JOSHICitizenship USAInventors signature: Rajiv V. JoshiDate: Dec 14, 2004

Residence: Yorktown Heights, New York 10598

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FULL NAME OF FIFTH INVENTOR: WERNER RAUSCH

Citizenship USA

Inventors signature: 

Date: 12/14/04

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